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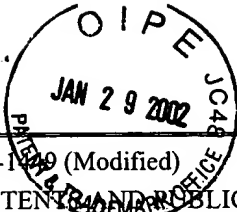
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FORM PTO-1449 (Modified)			Attorney Docket No.: 18563-003300US		Application No.: 09/621,716	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: CHRISTOPHER THOMAS SEPE			
			Filing Date: July 24, 2000		Group: 2761	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<u>ETS</u> A	3,407,500	10/1968	Kesling			
<u>ES</u> B	3,600,808	08/1971	Reeve			
<u>ETS</u> C	3,660,900	05/1972	Andrews			
<u>ES</u> D	3,683,502	08/1972	Wallshein			
<u>ETS</u> E	3,860,803	01/1975	Levine			
<u>ES</u> F	3,922,786	12/1975	Lavin			
<u>ES</u> G	3,950,851	04/1976	Bergersen			
<u>ES</u> H	4,253,828	03/1981	Coles et al.			
<u>ES</u> I	4,324,546	04/1982	Heitlinger et al.			
<u>ES</u> J	4,324,547	04/1982	Arcan et al.			
<u>ES</u> K	4,500,294	02/1985	Lewis			
<u>ETS</u> L	4,504,225	03/1985	Yoshii			
<u>ETS</u> M	4,505,673	03/1985	Yoshii			
<u>ETS</u> N	4,526,540	07/1985	Dellinger			
<u>ETS</u> O	4,591,341	05/1986	Andrews			
<u>ES</u> P	4,609,349	09/1986	Cain			
<u>ES</u> Q	4,664,626	05/1987	Kesling			
<u>ES</u> R	4,676,747	06/1987	Kesling			
<u>ES</u> S	4,755,139	07/1988	Abbatte et al.			
<u>ES</u> T	4,793,803	12/1988	Martz			
<u>ES</u> U	4,798,534	01/1989	Breads			
<u>ES</u> V	4,836,778	06/1989	Baumrind et al.			
<u>ES</u> W	4,837,732	06/1989	Brandestini et al.			
<u>ES</u> X	4,850,865	07/1989	Napolitano			
<u>ES</u> Y	4,856,991	08/1989	Breads et al.			
<u>ES</u> Z	4,877,398	10/1989	Kesling			
<u>ES</u> AA	4,880,380	11/1989	Martz			
<u>ES</u> AB	4,936,862	06/1990	Walker et al.			
<u>ES</u> AC	4,941,826	07/1990	Loran et al.			
<u>ES</u> AD	4,983,334	01/1991	Adell			
<u>ES</u> AE	5,011,405	04/1991	Lemchen			
<u>ES</u> AF	5,017,133	05/1991	Miura			
<u>ES</u> AG	5,035,613	07/1991	Breads et al.			
<u>ES</u> AH	5,055,039	10/1991	Abatte et al.			
<u>ES</u> AI	5,059,118	10/1991	Breads et al.			
<u>ES</u> AJ	5,100,316	03/1992	Wildman			

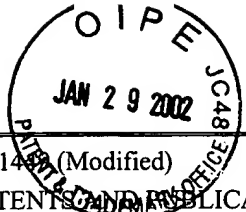
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FORM PTO-1149 (Modified)			Attorney Docket No.: 18563-003300US		Application No.: 09/621,716	
LIST OF PATENT AND TRADEMARK APPLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: CHRISTOPHER THOMAS SEPE			
			Filing Date: July 24, 2000		Group: 2761	
<u>45</u> AK	5,125,832	06/1992	Kesling		RECEIVED FEB 01 2002 Technology Center 2100	
<u>45</u> AL	5,139,419	08/1992	Andreiko et al.			
<u>45</u> AM	5,145,364	09/1992	Martz et al.			
<u>45</u> AN	5,186,623	02/1993	Breads et al.			
<u>45</u> AO	5,273,429	12/1993	Rekow et al.			
<u>45</u> AP	5,338,198	08/1994	Wu et al.			
<u>45</u> AQ	5,340,309	08/1994	Robertson			
<u>45</u> AR	5,342,202	08/1994	Deshayes			
<u>45</u> AS	5,367,478	11/1994	Hattori			
<u>45</u> AT	5,368,478	11/1994	Andreiko et al.			
<u>45</u> AU	5,382,164	01/1995	Stern			
<u>45</u> AV	5,395,238	03/1995	Andreiko et al.			
<u>45</u> AW	5,431,562	07/1995	Andreiko et al.			
<u>45</u> AX	5,447,432	09/1995	Andreiko et al.			
<u>45</u> AY	5,452,219	09/1995	Dehoff et al.			
<u>45</u> AZ	5,454,717	10/1995	Andreiko et al.			
<u>45</u> BA	5,456,600	10/1995	Andreiko et al.			
<u>45</u> BB	5,474,448	12/1995	Andreiko et al.			
<u>45</u> BC	5,528,735	06/1996	Strasnick et al.			
<u>45</u> BD	5,533,895	07/1996	Andreiko et al.			
<u>45</u> BE	5,542,842	08/1996	Andreiko et al.			
<u>45</u> BF	5,549,476	08/1996	Stern			
<u>45</u> BG	5,587,912	12/1996	Anderson et al.			
<u>45</u> BH	5,605,459	02/1997	Kuroda et al.			
<u>45</u> BI	5,607,305	03/1997	Andersson et al.			
<u>45</u> BJ	5,645,420	07/1997	Bergersen			
<u>45</u> BK	5,645,421	07/1997	Slootsky			
<u>45</u> BL	5,683,243	11/1997	Andreiko et al.			
<u>45</u> BM	5,692,894	12/1997	Schwartz et al.			
<u>45</u> BN	5,725,376	03/1998	Poirier			
<u>45</u> BO	5,725,378	03/1998	Wang			
<u>45</u> BP	5,800,174	09/1998	Andersson			
<u>45</u> BQ	5,866,058	02/1999	Batchelder et al.			
<u>45</u> BR	5,879,158	03/1999	Doyle et al.			
<u>45</u> BS	5,880,961	03/1999	Crump			
<u>45</u> BT	5,957,686	09/1999	Anthony			
<u>45</u> BU	5,964,587	10/1999	Sato			
<u>45</u> BV	5,971,754	10/1999	Sondhi et al.			



FORM PTO-1489 (Modified)			Attorney Docket No.: 18563-003300US		Application No.: 09/621,716	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: CHRISTOPHER THOMAS SEPE			
			Filing Date: July 24, 2000		Group: 2761	
<u>ES</u> BW	5,975,893	11/1999	Chisti et al.			RECEIVED FEB 01 2002 Technology Center 2100
<u>ES</u> BX	6,044,309	03/2000	Honda			
<u>ES</u> BY	6,049,743	04/2000	Baba			
<u>ES</u> BZ	6,123,544	09/2000	Cleary			
<u>ES</u> CA	6,183,248	02/2001	Chishti et al.			
<u>ES</u> CB	6,190,165	02/2001	Andreiko et al.			
<u>ES</u> CC	6,217,334	04/2001	Hultgren			
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
<u>ES</u> CD	FR 2369828	06/1978	France			
<u>ES</u> CE	WO 98/58596	07/1998	PCT			
<u>ES</u> CF	WO 98/32394	07/1998	PCT			
<u>ES</u> CG	WO 94/10935	05/1994	PCT			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
<u>ES</u> CH	Andrews, "The Six Keys to Optimal Occlusion" <i>Straight Wire, Chapter 3</i> pp13-24					
<u>ES</u> CI	Biostar Opeation & Training Manual. Great Lakes Orthodontics, Ltd. 199 Fire Tower Drive, Tonawanda, New York. 14150-5890. 20 pages total					
<u>ES</u> CJ	Chiappone, "Constructing the gnathologic setup and positioner" <i>J. Clin. Orthod.</i> (1980) 14:121-133.					
<u>ES</u> CK	Cottingham, "Gnathologic clear plastic positioner" <i>Am. J. Orthod.</i> (1969) 55:23-31.					
<u>ES</u> CL	Cureton, "Correcting malaligned mandibular incisors with removable retainers" <i>J. Clin. Orthod.</i> (1996) 30:390-395.					
<u>ES</u> CM	Dent-X posted at http://www.dent-x.com/DentSim.htm 09/24/98, 6 pages ttoal.					
<u>ES</u> CN	Elsasser, "Some observations on the history and uses of the Kesling positioner" <i>Am. J. Orthod.</i> (1950) 36:368-374.					
<u>ES</u> CO	Kamada et al., "Case reports on tooth positioners using LTV vinyl silicone rubber" <i>J. Nihon University School of Dentistry</i> (1984) 26(1):11-29.					
<u>ES</u> CP	Kamada et al., "Construction of tooth positioners with LTV vinyl silicone rubber and some case reports" <i>J. Nihon University School of Dentistry</i> (1982) 24(1):1-27.					
<u>ES</u> CQ	Kesling, "Coordinating the predetermined pattern and tooth positioner with conventional treatment" <i>Am. J. Orthod. Oral. Surg.</i> (1946) 32:285-293.					
<u>ES</u> CR	Kesling, "The philosophy of the tooth positioning appliance" <i>Am. J. Orthod. Oral. Surg.</i> (1945) 31(6):297-304.					
<u>ES</u> CS	Kleemann et al., "The speed positioner" <i>J. Clin. Orthod.</i> (1996) 30:673-680.					
<u>ES</u> CT	Kunii et al., "Articulation Simulation for an Intelligent Dental Care System" <i>Displays</i> (1994) 15:181-188.					
<u>ES</u> CU	Kuroda et al., "Three-dimensional dental cast analyzing system using laser scanning" <i>Am. J. Orthod. Dentofac. Orthop.</i> (1996) 110:365-369.					
<u>ES</u> CV	Nahoum et al., "The vacuum formed dental contour appliance" <i>The New York State Dental Journal</i> (1964) 30(9):385-390.					
<u>ES</u> CW	<i>Nippon Dental Review</i> "New orthodontic device-dynamic positioner (D.P.)-I. Approach to the proposal of D.P. and transparent silicone rubber" (1980) 452:61-74.					
<u>ES</u> CX	<i>Nippon Dental Review</i> "New orthodontic device-dynamic positioner (D.P.)-II. Practical application and construction of D.P." (1980) 454:107-130.					

FORM PTO-1486 (Modified)		Attorney Docket No.: 18563-003300US	Application No.: 09/621,716
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: CHRISTOPHER THOMAS SEPE	
		Filing Date: July 24, 2000	Group: 2761
EIS CY	Nippon Dental Review "New orthodontic device-dynamic positioner (D.P.)-III. Case reports of reversed occlusion" (1980) 457:146-164.		
EIS CZ	Nippon Dental Review "New orthodontic device-dynamic positioner (D.P.)-Case reports of reversed occlusion" (1980) 458:112-129.		
EIS DA	Nishiyama et al., "A new construction of tooth repositioner by LTV vinyl silicone rubber" J. Nihon University School of Dentistry (1977) 19(2):93-102.		
EIS DB	Cardinal Industrial Finishes, Powder Coatings information posted at http://www.cardinalpaint.com on 08/25/00, 2 pages total.		
EIS DC	Proffit et al, "Contemporary Orthodontics" Second Edition, Chapter 15, pp 470-533		
EIS DD	Raintree Essix™ & ARS Materials, Inc., Raintree Essix™ Technical Magazine Table of Contents and Essix™ Applications, http://www.essix.com/magazine/default.html (Aug. 13, 1997) 7 pages total.		
EIS DE	Richmond et al., "The development of the PAR Index (Peer Assessment Rating): reliability and validity" European Journal of Orthodontics (1992) 14:125-139.		
EIS DF	Schroeder et al., Eds. The Visual Toolkit, Prentice Hall PTR, New Jersey (1998) Chapters 6, 8, and 9 (pages 153-210, 309-354, and 355-428, respectively).		
EIS DG	Shilliday, "Minimizing finishing problems with the mini-positioner" Am. J. Orthod. (1971) 59:596-599.		
EIS DH	Warunek et al., "Clinical use of silicone elastomer appliances" JCO (1989) XXIII(10):694-700.		
EIS DI	Warunek et al., "Physical and mechanical properties of elastomers in orthodontic positioners" Am. J. Orthod. Dentofac. Orthop. (1989) 95:388-400.		
EIS DJ	Wells, "Application of the positioner appliance in orthodontic treatment" Am. J. Orthodont. (1970) 58:351-366.		
		REC	
		FEB 01 2002	
		Technology Center 2	
EXAMINER [Signature]		DATE CONSIDERED May 12, 2003	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.